

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents
Washington, D.C. 20231

WITH SIGNED DECLARATION

JC912 U.S. PTO



Sir: 07/10/01

Herewith is the PATENT APPLICATION of
Inventor(s): UEMURA

Title GROUP III NITRIDE COMPOUND SEMICONDUCTOR
LIGHT-EMITTING DEVICE

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE



00909



Atty. Dkt.: P 281542 | T36-135083M/KOH
M# Client Ref

including:

Date: July 10, 2001

1. Specification: 21 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 11 numbered claims
4. ☒ Drawings: 4 sheet(s) ☒ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete item 6 or 7). (Prior M# SN)

6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. / filed
No. / filed
No. / filed

No. PCT/US / filed, Which

designated the US and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☐ Assignee (optional):

Address

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-208070	July 10, 2001	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) filed on in U.S. Application No. /

13. Small entity status ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)

13(a). ☐ Attached: (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)

13(b). ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☒ Attached: IDS LETTER CITING APPLICATION AND ATTACHING PTO-1449/DOCUMENTS

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	11	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	3	minus 3 =	*0	x \$80/\$40 =	+ 0	102/202
				*If answer is zero or less, enter "0"		
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$710	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$750	

Our Deposit Account No. 03-3975

Our Order No. 51273 C# 281542 M#



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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: Caroline D. Dennison

Reg. No. 34494

Sig: Caroline D. Dennison

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Atty/Sec: CDD/tis

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

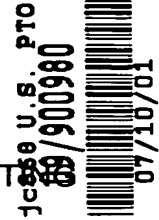
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3
10/16/01
Mouri

In re PATENT APPLICATION of

Inventor(s): UEMURA
 Atty.Dkt: P 281542
 Appln. No.: Unknown
 Filed: July 10, 2001
 FOR: GROUP III NITRIDE COMPOUND SEMICONDUCTOR LIGHT-EMITTING DEVICE

Group Art Unit: Unknown
 Examiner: Unknown



Date: July 10, 2001

IDS LETTER CITING APPLICATION(S) AND ATTACHING PTO-1449/DOCUMENTS

The Examiner's attention is directed to the following application(s) of:

Examiner's Initials*	FIRST INVENTOR (Last Name Only)	APPLICATION NO. & Filing Date	ENCLOSED
	UEMURA	May 1, 2001	<input checked="" type="checkbox"/> Spec <input checked="" type="checkbox"/> Drawings <input checked="" type="checkbox"/> Current Claims <input type="checkbox"/> Other
			<input type="checkbox"/> Spec <input type="checkbox"/> Drawings <input type="checkbox"/> Current Claims <input type="checkbox"/> Other
			<input type="checkbox"/> Spec <input type="checkbox"/> Drawings <input type="checkbox"/> Current Claims <input type="checkbox"/> Other

PLEASE DO NOT PRINT the above information on the patent which results from the subject application.

Consideration of each listed application is earnestly solicited since unpublished patent applications are contemplated as IDS material; see the exception in Rule 98(a)(2)(iii) and note the penultimate sentence of MPEP 609.

Further, in keeping with MPEP 609, Subsec. C(2), 2nd para., line 10 to end of the paragraph (especially note lines 18-25) **PLEASE RETURN A COPY OF THIS LETTER** with the Examiner's initials adjacent each above listing so that applicant will know that each listed application has been considered as required by PTO policy. Thanks.

Secondly, please consider each enclosed document which is listed on the attached Form PTO-1449 and return a copy of that form with the Examiner's initials adjacent each citation. Thanks again.

Respectfully submitted

Caroline D. Dennison

*The Examiner's initials adjacent a citation indicates he/she has considered the cited application relative to the subject application.